

AMENDED IN ASSEMBLY JUNE 20, 2005

AMENDED IN SENATE APRIL 13, 2005

SENATE BILL

No. 15

Introduced by Senator Escutia

December 6, 2004

An act to amend ~~Section 311~~ *Sections 311 and 311.5* of the Public Utilities Code, relating to the Public Utilities Commission.

LEGISLATIVE COUNSEL'S DIGEST

SB 15, as amended, Escutia. Public Utilities Commission.

Under existing law, the Public Utilities Commission has regulatory authority over public utilities and can establish its own procedures, subject to statutory restrictions and constitutional requirements of due process. Existing law requires that certain alternate decisions, as defined, as to any item appearing on the commission's public agenda, be served upon all parties to the proceeding without undue delay and be subject to public review and comment before it may be voted upon. Existing law authorizes the commission to adopt rules that provide for the time and manner of review and comment and the rescheduling of the item on a subsequent public agenda, except that the item may not be rescheduled for consideration sooner than 10 days following service of the alternate decision upon the parties. *Existing law authorizes the commission to reduce or waive certain time period requirements for review and comment in an unforeseen emergency situation.*

This bill would require that the item may not be rescheduled for consideration sooner than 30 days following service of the alternate decision upon the parties. *The bill would require that the alternate item be accompanied by a digest that clearly explains the substantive revisions to the proposed decision. The bill would require that the*

commission immediately notify the Legislature when the commission reduces or waives the time periods for public review and comment due to an unforeseen emergency situation.

Existing law requires the commission to publish and maintain certain documents and information on the Internet unless otherwise authorized by the Department of Information Technology pursuant to a specified executive order.

This bill would require the commission to publish and maintain certain documents and information on the Internet, including certain documents and information currently published and maintained by the commission on the Internet.

Vote: majority. Appropriation: no. Fiscal committee: yes.
State-mandated local program: no.

The people of the State of California do enact as follows:

1 SECTION 1. Section 311 of the Public Utilities Code is
2 amended to read:
3 311. (a) The commission, each commissioner, the executive
4 director, and the assistant executive directors may administer
5 oaths, certify to all official acts, and issue subpoenas for the
6 attendance of witnesses and the production of papers, waybills,
7 books, accounts, documents, and testimony in any inquiry,
8 investigation, hearing, or proceeding in any part of the state.
9 (b) The administrative law judges may administer oaths,
10 examine witnesses, issue subpoenas, and receive evidence, under
11 rules that the commission adopts.
12 (c) The evidence in any hearing shall be taken by the
13 commissioner or the administrative law judge designated for that
14 purpose. The commissioner or the administrative law judge may
15 receive and exclude evidence offered in the hearing in
16 accordance with the rules of practice and procedure of the
17 commission.
18 (d) Consistent with the procedures contained in Sections
19 1701.1, 1701.2, 1701.3, and 1701.4, the assigned commissioner
20 or the administrative law judge shall prepare and file an opinion
21 setting forth recommendations, findings, and conclusions. The
22 opinion of the assigned commissioner or the administrative law
23 judge is the proposed decision and a part of the public record in
24 the proceeding. The proposed decision of the assigned

1 commissioner or the administrative law judge shall be filed with
2 the commission and served upon all parties to the action or
3 proceeding without undue delay, not later than 90 days after the
4 matter has been submitted for decision. The commission shall
5 issue its decision not sooner than 30 days following filing and
6 service of the proposed decision by the assigned commissioner or
7 the administrative law judge, except that the 30-day period may
8 be reduced or waived by the commission in an unforeseen
9 emergency situation or upon the stipulation of all parties to the
10 proceeding or as otherwise provided by law. The commission
11 may, in issuing its decision, adopt, modify, or set aside the
12 proposed decision or any part of the decision. Where the
13 modification is of a decision in an adjudicatory hearing it shall be
14 based upon the evidence in the record. Every finding, opinion,
15 and order made in the proposed decision and approved or
16 confirmed by the commission shall, upon that approval or
17 confirmation, be the finding, opinion, and order of the
18 commission.

19 (e) Any item appearing on the commission's public agenda as
20 an alternate item to a proposed decision or to a decision subject
21 to subdivision (g) shall be served upon all parties to the
22 proceeding without undue delay and shall be subject to public
23 review and comment before it may be voted upon. For purposes
24 of this subdivision, "alternate" means either a substantive
25 revision to a proposed decision that materially changes the
26 resolution of a contested issue or any substantive addition to the
27 findings of fact, conclusions of law, or ordering paragraphs. The
28 commission shall adopt rules that provide for the time and
29 manner of review and comment and the rescheduling of the item
30 on a subsequent public agenda, except that the item may not be
31 rescheduled for consideration sooner than 30 days following
32 service of the alternative item upon all parties. *The alternate item*
33 *shall be accompanied by a digest that clearly explains the*
34 *substantive revisions to the proposed decision.* The commission's
35 rules may provide that the time and manner of review and
36 comment on an alternate item may be reduced or waived by the
37 commission in an unforeseen emergency situation.

38 (f) The commission may specify that the administrative law
39 judge assigned to a proceeding involving an electrical, gas,
40 telephone, railroad, or water corporation, or a highway carrier,

1 initiated by customer or subscriber complaint need not prepare,
2 file, and serve an opinion, unless the commission finds that to do
3 so is required in the public interest in a particular case.

4 (g) (1) Prior to voting on any commission decision not subject
5 to subdivision (d), the decision shall be served on parties and
6 subject to at least 30 days public review and comment. Any
7 alternate to any commission decision shall be subject to the same
8 requirements as provided for alternate decisions under
9 subdivision (e). For purposes of this subdivision, “decision” also
10 includes resolutions, including resolutions on advice letter
11 filings.

12 (2) The 30-day period may be reduced or waived in an
13 unforeseen emergency situation, upon the stipulation of all
14 parties in the proceeding, for an uncontested matter in which the
15 decision grants the relief requested, or for an order seeking
16 temporary injunctive relief.

17 (3) This subdivision does not apply to uncontested matters that
18 pertain solely to water corporations, or to orders instituting
19 investigations or rulemakings, categorization resolutions under
20 Sections 1701.1 to 1701.4, inclusive, or orders authorized by law
21 to be considered in executive session. Consistent with regulatory
22 efficiency and the need for adequate prior notice and comment
23 on commission decisions, the commission may adopt rules, after
24 notice and comment, establishing additional categories of
25 decisions subject to waiver or reduction of the time period in this
26 section.

27 (h) Notwithstanding any other provision of law, amendments,
28 revisions, or modifications by the commission of its Rules of
29 Practice and Procedure ~~after January 1, 1999~~, shall be submitted
30 to the Office of Administrative Law for prior review in
31 accordance with Sections 11349, 11349.3, 11349.4, 11349.5,
32 11349.6, and 11350.3 of, and subdivisions (a) and (b) of Section
33 11349.1 of, the Government Code. If the commission adopts an
34 emergency revision to its Rules of Practice and Procedure based
35 upon a finding that the revision is necessary for the preservation
36 of the public peace, health and safety, or general welfare, this
37 emergency revision shall only be reviewed by the Office of
38 Administrative Law in accordance with subdivisions (b) to (d),
39 inclusive, of Section 11349.6 of the Government Code. The
40 emergency revision shall become effective upon filing with the

Secretary of State and shall remain in effect for no more than 120 days. A petition for writ of review pursuant to Section 1756 of a commission decision amending, revising, or modifying its Rules of Practice and Procedure shall not be filed until the regulation has been approved by the Office of Administrative Law, the Governor, or a court pursuant to Section 11350.3 of the Government Code. If the period for filing the petition for writ of review would otherwise have already commenced under Section 1733 or 1756 at the time of that approval, then the period for filing the petition for writ of review shall continue until 30 days after the date of that approval. Nothing in this subdivision shall require the commission to comply with Article 5 (commencing with Section 11346) of Chapter 3.5 of Part 1 of Division 3 of Title 2 of the Government Code. This subdivision is only intended to provide for the Office of Administrative Law review of procedural commission decisions relating to commission Rules of Practice and Procedure, and not general orders, resolutions, or other substantive regulations.

(i) The commission shall immediately notify the Legislature whenever the commission reduces or waives the time period for public review and comment due to an unforeseen emergency situation, as provided in subdivision (d), (e), or (g).

SEC. 2. Section 311.5 of the Public Utilities Code is amended to read:

311.5. (a) (1) Prior to commencement of any meeting at which commissioners vote on items on the public agenda the commission shall make available to the public copies of the agenda, and upon request, any agenda item documents that are proposed to be considered by the commission for action or decision at a commission meeting.

(2) In addition, the commission shall publish the agenda, agenda item documents, and adopted decisions in a manner that makes copies of them easily available to the public, including; ~~commencing publishing those documents on the Internet on or after July 1, 2001, unless otherwise authorized by the Department of Information Technology pursuant to Executive Order D-3-99.~~ Publication of the agenda and agenda item documents shall occur on the Internet at the same time as the written agenda and agenda item documents are made available to the public.

~~(b) On or after July 1, 2001, unless otherwise authorized by the Department of Information Technology pursuant to Executive Order D-3-99, the commission shall publish and maintain all of its decisions and resolutions on the Internet. That publication shall occur within 10 days of the adoption of a decision or resolution by the commission.~~

~~(c) On or after July 1, 2001, unless otherwise authorized by the Department of Information Technology pursuant to Executive Order D-3-99, the commission shall publish at its Internet site the then-current version of its general orders and Rules of Practice and Procedure.~~

~~(d) On or after July 1, 2001, unless otherwise authorized by the Department of Information Technology pursuant to Executive Order D-3-99, the commission shall publish and maintain all of its rulings on the Internet. The commission shall maintain those rulings at its site until final disposition, including disposition of any judicial appeals, of the respective proceedings in which the rulings were issued.~~

~~(e) On or after July 1, 2001, unless otherwise authorized by the Department of Information Technology pursuant to Executive Order D-3-99, the commission shall publish and maintain a docket card that shall list, by title and date of filing or issuance, all documents filed and all decisions or rulings issued in those proceedings on the Internet. The commission shall maintain the docket card until final disposition, including disposition of any judicial appeals, of the corresponding proceedings.~~

(b) The commission shall publish and maintain the following documents on the Internet:

(1) Each of the commission's proposed and alternate proposed decisions and resolutions, until the decision or resolution is adopted and published.

(2) Each of the commission's adopted decisions and resolutions. The publication shall occur within 10 days of the adoption of each decision or resolution by the commission.

(3) The then-current version of the commission's general orders and Rules of Practice and Procedure.

(4) Each of the commission's rulings. The commission shall maintain those rulings on its Internet Web site until final disposition, including disposition of any judicial appeals, of the respective proceedings in which the rulings were issued.

1 (5) *A docket card that lists, by title and date of filing or*
2 *issuance, all documents filed and all decisions or rulings issued*
3 *in those proceedings. The commission shall maintain the docket*
4 *card until final disposition, including disposition of any judicial*
5 *appeals, of the corresponding proceedings.*

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